



### UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICATION NO.	FIL	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/324,601	0	6/02/1999	STEVEN C. ROBERTSON		1766
23475	7590	12/05/2001			
STEVEN RO			EXAMINER		
26903 138TH AVENUE SE KENT, WA 98042				FADOK, MARK A	
·				ART UNIT	PAPER NUMBER
				2165	C
				DATE MAILED: 12/05/2001	ク

Please find below and/or attached an Office communication concerning this application or proceeding.

•			<del></del>					
		Application No.	Applicant(s)					
	Office Action Summary	09/324,601	ROBERTSON, STEVEN C.					
	Office Action Summary	Examiner	Art Unit					
THE MAN INC DATE All in second and in second		Mark A Fadok	2165					
- Period for	<ul> <li>The MAILING DATE of this communication app</li> <li>Reply</li> </ul>	lears on the cover sheet with the c	orrespondence address					
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status								
1)	Responsive to communication(s) filed on	<u> </u>						
2a) <u></u> □	This action is <b>FINAL</b> . 2b)⊠ Thi	is action is non-final.						
3)	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
Disposition	on of Claims							
4)	4) Claim(s) is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.								
5) Claim(s) is/are allowed.								
6)⊠	6)⊠ Claim(s) <u>1-22</u> is/are rejected.							
7)	Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or election requirement.								
Application Papers								
9)☐ The specification is objected to by the Examiner.								
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.								
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).								
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.								
If approved, corrected drawings are required in reply to this Office action.								
12) The oath or declaration is objected to by the Examiner.								
Priority under 35 U.S.C. §§ 119 and 120								
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).								
a) All b) Some * c) None of:								
1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.								
<ul><li>2. Certified copies of the priority documents have been received in Application No</li><li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li></ul>								
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.								
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).								
<ul> <li>a) The translation of the foreign language provisional application has been received.</li> <li>15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>								
Attachment(s)								
2) Notice	of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948) ation Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informal F	(PTO-413) Paper No(s) Patent Application (PTO-152)					

Application/Control Number: 09/324,601

Art Unit: 2165

#### **DETAILED ACTION**

1. The Examiner is in receipt of applicant's response to USPTO's Office Action dated 6/27/01, which was received on 10/17/01. The Examiner has reviewed your response and is submitting the following cited new art as a non-final Office Action.

### Drawings

2. The drawings filed on 6/2/99 are acceptable subject to correction of the informalities indicated on the attached "Notice of Draftsperson's Patent Drawing Review," PTO-948. In order to avoid abandonment of this application, correction is required.

# Claim Rejections - 35 USC § 102

- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.
- 3. Claim 1-22 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by WO 00/28461 A1 herein referred to as <u>GILLIN et al.</u>. Please note that <u>GILLIN et al.</u> cites priority to US application 09/188,810, which has a filing date of 9 November 1998.
- 4. Although Claims 1-22 are clearly anticipated by <u>GILLIN et al</u>, the following key elements, Claimed in the instant application, are located and/or described below:
- 5. In regards to claim 1, <u>GILLIN et al</u> discloses a plurality of Manufacturer sites connected to the distributed network (See abstract and **FIG 3**).
- 6. In regards to claim 3, <u>GILLIN et al</u> teaches placing restrictions on the use of the gift certificate. (Claim 1, "account parameter")

Application/Control Number: 09/324,601

Art Unit: 2165

7. In regards to claim 10, <u>GILLIN et al</u> discloses a method whereby the purchaser may place restrictions on that certificate. (Claim 1. "account parameter")

8. In regards to claim 19, <u>GILLIN et al</u> discloses an apparatus comprising and authority server operably connected to a computer network. (See FIG's 1,2 and 3)

## Response to Amendment

The Examiner has reviewed your response to this office's first non-final office action and concurs with your findings. However, an updated search has unveiled new Art which is presented herewith in this second non-final office action.

#### Conclusion

- 9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark A Fadok whose telephone number is (703) 605-4252. The examiner can normally be reached on Monday to Friday 8:00 4:00.
- 10. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wynn Coggins can be reached on (703) 308-1344. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.

Page 4

Application/Control Number: 09/324,601

Art Unit: 2165

11. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Mark Fadok

November 29, 2001

Mark A Fadok Examiner Art Unit 2165

HYNN COGGINS

SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2100